

Dated 6 January 2023

Specific information relating to Danish investors

The following is a supplement to, forms part of and should be read in the context of and in conjunction with the full prospectus for Polen Capital Investment Funds plc dated 8 October 2021 and the supplement dated 30 November 2022.

1 Introduction

Polen Capital Focus U.S. Growth Fund (the “**Fund**”) is a sub-fund of Polen Capital Investment Funds plc, an open-ended umbrella investment company incorporated with variable capital with segregated liability between sub-funds, incorporated in Ireland, authorised by the Central Bank as an undertaking for collective investment in transferable securities pursuant to the European Communities (Undertakings for Collective Investment in Transferable Securities) Regulations 2011 (as amended).

2 Information on the Funds facilities to perform tasks

The Fund has appointed RBC Investor Services Ireland Limited (the “**Appointed Party A**”)¹ in order to make facilities available to perform the below listed task under Section 5 of Danish Executive Order No 924 of 7 May 2021 on Foreign UCITS’ Marketing in Denmark (as amended from time to time)².

The details of the Appointed Party A are as follows:

Name: RBC Investor Services Ireland Limited
Address: 4th Floor, One George’s Quay Plaza, George’s Quay, Dublin 2
Business registration no: 260623.
Telephone number: 353 1 613 0411
Email: sinead.mcanallen@rbc.com

The details of the task are as follows:

- (a) process subscription, repurchase and redemption orders and make other payments to investors of units of the Fund, in accordance with the conditions set out in the documents required pursuant to Chapter IX of Directive 2009/65/EC of the European Parliament and of the Council of 13 July 2009 on the coordination of laws, regulations and administrative provisions relating to undertakings for collective investment in transferable securities (the “**UCITS Directive**”);

The Fund has also appointed : DE Facilities Limited (the “**Appointed Party B**”) (together with Appointed Party A the “**Appointed Parties**”) in order to make facilities available to perform the below listed tasks under Section 5 of Danish Executive Order no. 924 of 7 May 2021 on Foreign UCITS’ Marketing in Denmark.

¹ GF drafting note: there is no specific requirement to name the third party this name. Since facilities agent and agent are common terms, this was just a suggestion to avoid confusion.

² GF drafting note: A new Executive Order was published for consultation in August this year with the intention of entering into force on 1 January 2023. We have not identified that it has officially been published yet.

The details of the Appointed Party B are as follows:

Name: DE Facilities Limited
Address: 33 Sir John Rogersons Quay, Dublin 2, Ireland
Tel: +353 1 667 0022
Email: DE.Facilities@dilloneustace.ie

The details of the tasks are as follows:

- (b) provide investors with information on how orders referred to in point (a) can be made and how repurchase and redemption proceeds are made;
- (c) facilitate the handling of information and access to procedures and arrangements referred to in Article 15 of the UCITS Directive relating to the investors' exercise of their rights arising from their investment in the Fund in Denmark;
- (d) make the information and documents required pursuant to Chapter IX of the UCITS Directive available to investors, for the purposes of inspection and obtaining copies thereof;
- (e) provide investors with the information relevant to the tasks that the facilities perform in a durable medium; and
- (f) act as a contact point for communicating with The Danish Financial Supervisory Authority and other competent authorities.

Material relating to facilities set out above is provided in Danish.

3 Procedure in the event of cessation of marketing in Denmark

In the event that the Fund cease to be marketed in Denmark, information and documents will still be available to the investors in Denmark upon written request. Services currently offered by the Appointed Parties will no longer be made available by the Appointed Parties except as provided hereafter. It will be ensured that the procedure for the payment of dividend and redemption proceeds will continue unchanged for investors in Denmark – including services from the Appointed Parties to any remaining retail investors in Denmark – unless the general procedure of the Fund is changed.

4 Risk-Labeling of shares of the Company

The Danish Financial Supervisory Authority has introduced rules on risk-labelling for funds pursuant to which various categories of investment product have been assigned a risk label. The shares of the Fund have the yellow risk mark pursuant to the Danish Financial Supervisory Authority's rules on risk-labelling. The risk-labelling is based on the possibility of losing the invested amount based on the product type and not the actual likelihood of this happening.

The risk labelling system is based on the colours of a traffic light.

Green: Investment products labelled green refer to those where the risk of losing the invested amount is considered very limited and where the product type is not difficult to understand. Examples include Danish government bonds, EU governments bond and Danish mortgage bonds.

Yellow: Investment products labelled yellow refer to those where there is considered to be a risk of losing the entire or a part of the invested amount and where the product type is not difficult to understand. Examples include listed shares, corporate bonds and shares of UCITS funds.

Red: Investment products labelled red refer to those where there is considered to be a risk of losing more than the invested amount or the product type is difficult to understand. Examples include unlisted shares, options, futures, swaps and structured bonds.