



Polen Capital Expands U.S. Mutual Fund Lineup with High Yield and Bank Loan Funds that aim to Deliver High Income and Complement the Firm's Equity Tradition

Polen Upper Tier High Yield Fund and Polen Bank Loan Fund join Polen DDJ Opportunistic High Yield Fund among Polen Capital Credit mutual fund offerings

BOSTON, Ma.— August 12, 2022— Polen Capital announced today that it has expanded its U.S. mutual fund offerings with a pair of new funds, the Polen Upper Tier High Yield Fund (PBBIX, PBBRX) and the Polen Bank Loan Fund (PBKIX, PBKRX). These vehicles, which are managed by the Polen Capital High Yield team, complement the firm's existing investment offerings to provide greater choice for investors in the fixed income space.

"These funds are designed to help meet the income needs of our clients by uncovering opportunities that we believe offer a compelling risk/reward ratio and a significant margin of safety. By exploiting market inefficiencies through bottom-up fundamental research, we aim to deliver high current income in both the high yield bond and bank loan asset classes," said David Breazzano, Head of the Polen Capital High Yield team and Portfolio Manager.

"Since the Polen Capital High Yield team joined the firm, we have been focused on expanding the availability of their strategies to a broader client base. We are excited to continue those efforts with the launch of these mutual funds, which join the Polen DDJ Opportunistic High Yield Fund in an area where investors are seeking solutions that deliver income and long-term growth," said Stan Moss, CEO of Polen Capital.

The Polen Upper Tier High Yield Fund, managed by David Breazzano and Roman Rjanikov, and the Polen Bank Loan Fund, managed by John Sherman and Benjamin Santonelli, launched July 1, 2022 and are available to U.S. investors.

These mutual funds, along with the existing Polen DDJ Opportunistic High Yield Fund, are supported by the 16 investment team members of Polen Capital Credit (formerly DDJ Capital Management), who average more than 20 years of industry experience and together manage over \$7.3 billion.

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About Polen Capital Management

Polen Capital Management is a global investment management firm advising approximately \$61 billion in assets (as of June 30, 2022) and providing growth and income investment strategies to a wide range of institutional and individual clients around the world. Since 1989, Polen Capital has been committed to attracting, developing, and retaining exceptional professionals who are aligned with the firm's mission of preserving and growing clients' assets to protect their present and enable their future. Polen Capital currently has four autonomous investment teams including the Boca Raton, Fla.-based Large Company Growth Team, Boston-based Small Company Growth Team and High Yield Team, and London-based Emerging Markets Growth Team. The firm has been recognized by *Pensions & Investments* as one of the industry's "Best Places to Work" for each of the past six years. For more information, visit www.polencapital.com or follow us on [LinkedIn](#) and [Twitter](#) at @PolenCapital.



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Investors should consider the investment objectives, risks, charges, and expenses of the Polen Bank Loan Fund and the Polen Upper Tier High Yield Fund carefully before investing. A prospectus with this and other information about the Fund may be obtained by calling 1-888-678-6024. It should be read carefully before investing.

The Polen DDJ Opportunistic High Yield Fund is not suitable for all investors and an investor in the Polen DDJ Opportunistic High Yield Fund should consider the Fund's investment objectives, risks, and charges and expenses carefully before investing. This and other important information is contained in the Fund's prospectus, which can be obtained by calling the Fund's transfer agent at 844-363-4898 or by clicking [here](#). Investors are encouraged to carefully read such materials before investing.

Mutual fund investing involves risk, including possible loss of principal. The Funds target investments in high yield, or below investment grade, fixed income securities. Such investments are subject to several types of investment risk, including, without limitation, credit risk (i.e., the risk that the issuer may be unable to make timely interest payments as well as repay the principal upon maturity), interest rate risk (i.e., the risk that their value will be inversely affected by fluctuations in the prevailing interest rates), market risk (i.e., the risk that their value may decline, sometimes rapidly or unpredictably, due to general market conditions), call or income risk, (i.e., the risk that certain debt securities with high interest rates will be prepaid or "called" by the issuer before they mature), and event risk (i.e., the risk that certain debt securities may suffer a substantial decline in credit quality and market value if the issuer restructures). In particular, debt investments in high yield issuers, which are described as speculative by major credit rating agencies and commonly referred to as "junk bonds", are generally more susceptible to credit risk than other fixed income investments. In addition, the Funds high yield debt investments, including bank loans and Rule 144A securities, are subject to liquidity risk, as the Fund may not be able to sell investments at the best prices or at the value that the Fund places on them. The Funds also may target investments in equity securities, typically in high yield or leveraged issuers. Such investments, which are the most junior security in a company's capital structure and typically subject to significant volatility in price, are subject to equity securities risk. An investor should be aware that the foregoing is not an exhaustive list of all of the risks associated with investing in the Fund.

The Polen Upper Tier High Yield Fund and the Polen Bank Loan Fund are distributed by Foreside Funds Distributors LLC., not affiliated with Polen Capital Management.

The Polen DDJ Opportunistic High Yield Fund is distributed by ALPS Distributors, Inc., 1290 Broadway, Ste. 1000, Denver, CO 80203. ALPS Distributors, Inc. is not affiliated with Polen Capital Credit or any other referenced entity.

Margin of safety in investing is the difference between a stock's intrinsic value and its current market price. We believe that superior earnings stability and financial strength serve as a "Margin of Safety" that typically results in less volatility during declining markets.